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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	
		Filing Date	
		First Named Inventor	FULLER
		Group Art Unit	
		Examiner Name	
(use as many sheets as necessary)		Attorney Docket Number	DF01-001
Sheet	2	of	3

12/31/01
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
JZ	1.	Anderberg, Michael, <u>Cluster Analysis for Applications</u> , Academic Press, New York, 1973.	
JZ	2.	Bock, HH, <u>Classification and Related Methods of Data Analysis</u> , Elsevier Science Publishers, North Holland, 1988	
JZ	3.	Celeux, Gilles and Soromenho, Gilda, "An Entropy Criterion for Assessing the Number of Clusters in a Mixture Model," <i>Journal of Classification</i> , 13, 195-212, 1996	
JZ	4.	Fowles JB et al, "Taking health status into account when setting capitation rates: a comparison of risk-adjustment methods," <i>JAMA</i> 276(16): 1316-21, 1996.	
JZ	5.	Hornbrook, Mark C & Goodman Michael J., "Assessing Relative Health Plan Risk with the Rand 36 Health Survey," <i>Inquiry</i> , 32:1, 56-74, 1995.	
JZ	6.	Hornbrook, Mark C & Goodman Michael J., "Chronic Disease, Functional Health Status, and Demographics: A Multi-Dimensional Approach to Risk Adjustment," <i>Health Services Research</i> , 31:3, 283-307, 1996.	
JZ	7.	Lamers, LM & van Vliet RC, "Multiyear diagnostic information from prior hospitalization as a risk-adjuster for capitation payments," <i>Medical Care</i> , 34:4 549-561, 1996.	
JZ	8.	Lorr, Maurice, <u>Cluster Analysis for Social Scientists</u> , Jossey-Bass Publishers, San Francisco, 1983.	
JZ	9.	Matthews, Geoffrey & Hearne, James, "Clustering Without a Metric," <i>IEEE Transactions on Pattern Analysis and Machine Intelligence</i> , V13N2, p175-184, 1991.	
JZ	10.	Soromenho, Gilda, "Comparing Approaches for Testing the Number of Components in a Finite Mixture Model," <i>Computational Statistics</i> , 9, 65-78, 1994.	
JZ	11.	Nord, Erik, "Health Status Index Models for use in Resource Allocation Decisions," <i>International Journal of Technology Assessment in Health Care</i> , 12:1, 1996.	

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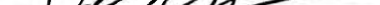
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